



Goldhorse Securities Limited

金馬證券有限公司

Participants of The Stock Exchange of Hong Kong Limited

香港聯合交易所有限公司參與者

SFC C.E. No. 證監會中央編號: BFU406

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Client Declaration Form 客戶聲明書

Account Name

帳戶名稱:

Account Number

帳戶號碼:

By opening the above-mentioned account with Goldhorse Securities Limited (“GHSL”), I hereby declare and confirm the followings:
本人開立上述金馬證券有限公司(「金馬證券」)帳戶時，特此聲明並確認如下：

- ALL funds which support the investment activities and related settlements are from lawful sources outside of the Chinese Mainland;
所有用以支持投資活動及相關結算的資金均來自內地以外的合法來源。
- I do not have an account that was previously closed or suspended by any licensed corporation or bank due to the use of questionable or forged documents.
本人沒有因曾使用可疑或偽造文件而令帳戶遭到任何持牌法團或銀行關閉或暫停。
- I will notify GHSL within 7 business days in the event of any changes in the information provided in this “Client Declaration Form”.
本人承諾，如本「客戶聲明書」中的資料出現任何更改，會在七個營業日內通知金馬證券。
- I fully understand that upon requests from any law enforcement agency or regulatory authority, GHSL may disclose my personal and other relevant information.
本人確認其明白，金馬證券可能會按任何執法機構或監管機構的要求，披露本人的個人及其他相關資料。

I further confirm that I shall only use bank accounts held in my own name with banks licensed in Hong Kong or supervised by banking regulators in eligible jurisdictions¹ for settlement purposes, and ensure that all future deposits and withdrawals for the above-mentioned account are conducted exclusively through these bank accounts.

本人進一步確認，本人只會使用以本人名義在香港持牌銀行或在合資格司法管轄區¹受銀行業監管機構監督的銀行持有的銀行帳戶，作結算之用。本人並保證，所有與上述帳戶相關的日後存款及提款，均僅透過該等銀行帳戶進行。

Client Signature

客戶簽署

Date 日期

¹ The list of “eligible jurisdictions” can be found in the SFC’s webpage under “Acceptable Account Opening Approaches”.
可在證監會「可接受的開戶方式」網頁下找到「合資格司法管轄區」清單。